

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
10 September 2004 (10.09.2004)

PCT

(10) International Publication Number  
**WO 2004/076398 A1**

(51) International Patent Classification<sup>7</sup>: **C07C 51/367**,  
51/44, 59/01

TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,  
ZW.

(21) International Application Number:  
PCT/US2004/005824

(84) Designated States (*unless otherwise indicated, for every kind of regional protection available*): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(22) International Filing Date: 24 February 2004 (24.02.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/449,698 24 February 2003 (24.02.2003) US

**Declarations under Rule 4.17:**

— *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations* AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, *ARIPO patent* (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), *Eurasian patent* (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), *European patent* (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), *OAPI patent* (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

(71) Applicant (*for all designated States except US*):  
CARGILL INCORPORATED [US/US]; Law 24,  
15407 McGinty Road West, Wayzata, MN 55391 (US).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): MENG, Xiangsheng [US/US]; 417 Santa Fe Trail, Chanhassen, MN 55317 (US). ABRAHAM, Timothy [US/US]; 18507 Apple Tree Court, Minnetonka, MN 55345 (US). TSOBANAKIS, Paris [GR/US]; 8579 Birch Boulevard, Inver Grove Heights, MN 55076 (US).

(74) Agents: PRIBNOW, Scott, R. et al.; Cargill, Incorporated, Law 24, 15407 McGinty Road West, Wayzata, Minnesota 55391 (US).

— *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for all designations*

**Published:**

— *with international search report*  
— *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(81) Designated States (*unless otherwise indicated, for every kind of national protection available*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM,

(54) Title: PROCESS FOR PREPARING 3-HYDROXYCARBOXYLIC ACIDS

(57) Abstract: Disclosed is a process for hydrating an alpha, beta-unsaturated carboxylic acid, such as acrylic acid, in water, in the presence of a catalyst selected from carbon dioxide, a sulfur oxide, a nitrogen oxide, gaseous hydrochloric acid, an inorganic or organic base having a pKa greater than 7, to prepare a 3-hydroxycarboxylic acid such as 3-hydroxypropionic acid. Also disclosed is a process for recovering 3-hydroxypropionic acid from a solution comprising the 3-hydroxypropionic acid.

WO 2004/076398 A1

## PROCESS FOR PREPARING 3-HYDROXYCARBOXYLIC ACIDS

5

### FIELD OF THE INVENTION

This invention relates to a process for preparing 3-hydroxycarboxylic acids from alpha, beta-unsaturated carboxylic acids.

### BACKGROUND OF THE INVENTION

10 Various methods for producing 3-hydroxycarboxylic acids are known. Included within such methods is hydrating an acrylic acid using as a catalyst perchloric acid, sulfuric acid or para-toluenesulfonic acid. Further, it is known that acrylic acid can be hydrated in the presence of a solid acid catalyst such as crystalline aluminosilicate.

### SUMMARY OF THE INVENTION

15 It is accordingly an object of the present invention to provide a new process for preparing 3-hydroxycarboxylic acids, particularly 3-hydroxypropionic acid.

It is a further object of this invention to provide a new process for preparing 3-hydroxycarboxylic acids in good yield.

20 It is a still further object of this invention to provide a new process for recovering 3-hydroxypropionic acid from a solution comprising the 3-hydroxypropionic acid.

These and other objects and advantages of the present invention will be apparent to those skilled in the art from the following detailed description and claims.

25 In accordance with the present invention, it has been found that the above and still further objects are achieved by hydrating an alpha, beta-unsaturated carboxylic acid, in the presence of a specific catalyst, in the presence of water, to prepare a 3-hydroxycarboxylic acid. The catalyst used in the process of the present invention is selected from a basic catalyst, that is an inorganic or organic base having a pKa value greater than 7, carbon dioxide, a sulfur oxide, a nitrogen oxide, or gaseous hydrochloric acid. Preferably, the catalyst used in the process of the present invention is selected from ammonia, carbon

dioxide, a sulfur oxide such as sulfur dioxide or trioxide, a nitrogen oxide such as nitrogen dioxide, magnesium oxide, magnesium hydroxide, calcium oxide, calcium hydroxide, ammonium hydroxide, gaseous hydrochloric acid, and mixtures thereof. Most preferred for use as a catalyst is carbon dioxide.

5           In further accord with the present invention, there is provided a process for recovering a 3-hydroxypropionic acid from a solution comprising the 3-hydroxypropionic acid, that comprises vacuum distilling the solution using a solvent having a boiling point of at least about 140°C, under reduced pressure, at a temperature lower than 100°C, to remove more than 95% of the acrylic acid and more than 95% of water, to produce a  
10       retentate that is vacuum distilled at a temperature of from about 110°C to about 150°C, whereby the 3-hydroxypropionic acid is recovered.

          The 3-hydroxycarboxylic acids prepared by the present process are known compounds having many applications, and the products herein are useful in such applications. In particular, the 3-hydroxycarboxylic acids, such as 3-hydroxypropionic  
15       acid, are known to be useful in the preparation of polymeric materials, and as being useful intermediates in the preparation of various organic materials.

#### DETAILED DESCRIPTION OF THE INVENTION

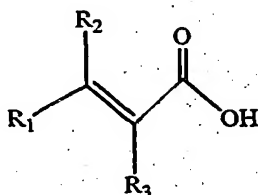
          In accordance with the present invention, it has been found that a 3-hydroxycarboxylic acid is produced by hydrating an alpha, beta-unsaturated carboxylic  
20       acid in water, in the presence of a basic catalyst that is an inorganic or organic base having a pKa value greater than 7, carbon dioxide, a sulfur oxide, a nitrogen oxide or gaseous hydrochloric acid. Any sulfur oxide may be utilized in the present process, such as sulfur dioxide or sulfur trioxide. Any nitrogen oxide may be utilized herein, such as nitrogen dioxide.

25       Exemplary inorganic bases having a pKa value greater than 7 that are suitable for use in the present process are alkaline earth metal oxides, such as magnesium oxide or calcium oxide; alkaline earth metal hydroxides, such as magnesium hydroxide or calcium hydroxide; alkaline earth metal carbonates or bicarbonates, such as magnesium carbonate or calcium carbonate; or mixtures of the alkaline earth metal oxides, hydroxides and/or  
30       carbonates; ammonia or ammonium hydroxide; an alkali metal oxide such as sodium

oxide or potassium oxide; and an alkali metal hydroxide, such as sodium hydroxide or potassium hydroxide; an alkali metal carbonate or bicarbonate such as sodium carbonate or potassium carbonate; or mixtures of any of the inorganic bases. The inorganic bases preferred for use include ammonia, magnesium oxide, magnesium hydroxide, calcium  
5 oxide, calcium hydroxide, and ammonium hydroxide.

Exemplary organic bases having a pKa value greater than 7 that are suitable for use in the present process are preferably any amine compound. The amine compounds include a primary amine having the formula  $RNH_2$ , a secondary amine having the formula  $R_1R_2NH$ , a tertiary amine  $R_1R_2R_3N$ , wherein  $R_1$ ,  $R_2$ , and  $R_3$  are individually similar or  
10 dissimilar, and represent hydrogen, a  $C_1-C_8$  alkyl, alkynyl or alkenyl group, linear or branched, optionally substituted by halogen, alkoxy, amino, alkylamino, or hydroxyl groups, or a  $C_6-C_{10}$  aryl group, optionally substituted by halogen, alkoxy, amino, alkylamino, or hydroxyl groups. Examples of the primary, secondary and tertiary amines are methylamine, ethylamine, butylamine, ethanolamine, heptylamine, hexylamine, tert-  
15 octylamine, dimethylamine, dibutylamine, dipentylamine, methylethanolamine, diethanolamine, methylheptylamine, methylhexylamine, trimethylamine, triethylamine, butyldiethanolamine, and tributylamine. Also suitable for use are diamino compounds having the formula  $H_2NRNH_2$ , wherein R represents a  $C_1-C_8$  alkyl, alkynyl or alkenyl group, linear or branched, optionally substituted by halogen, alkoxy, amino, alkylamino,  
20 or hydroxyl groups, or a  $C_6-C_{10}$  aryl group, optionally substituted by halogen, alkoxy, amino, alkylamino, or hydroxyl groups. Examples of suitable diamino compounds are methyl-1, 3-propanediamine, 1,6-hexanediamine, diaminopropane, diaminobutane, diaminopentane, and diaminocyclohexane. Also suitable for use herein are triamino compounds such as triaminopyrimidine, and nitrogen heterocycles such as piperazine,  
25 pyridine, pyrrole, and triazine.

The alpha, beta-unsaturated carboxylic acid that is hydrated in the present process is a compound having the following general formula:

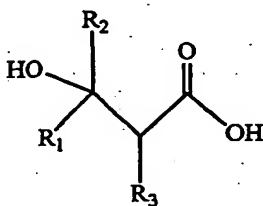


- 5 wherein  $R_1$ ,  $R_2$  and  $R_3$  are individually similar or dissimilar, and represent hydrogen, a  $C_1$ - $C_{20}$  alkyl group, linear or branched, optionally substituted by halogen, alkoxy, amino, alkylamino, or hydroxyl groups, or a  $C_6$ - $C_{20}$  aryl group, linear or branched, optionally substituted by halogen, alkoxy, amino, alkylamino, or hydroxyl groups.

- 10 Exemplary alpha, beta-unsaturated carboxylic acids suitable for use herein are acrylic acid, methacrylic acid, 2-butenic acid, 2-methyl-2-butenic acid, 2-methyl-2-pentenic acid, 3-methyl-2-butenic acid, 2,3-dimethyl-2-butenic acid, and cinnamic acid.

Preferably, the alpha, beta-unsaturated carboxylic acid that is hydrated in the present process is acrylic acid, resulting in the preparation of 3-hydroxypropionic acid.

- 15 Exemplary 3-hydroxycarboxylic acids that may be prepared by the present process include those having the general formula



- 20 wherein  $R_1$ ,  $R_2$ , and  $R_3$  are individually similar or dissimilar, and represent hydrogen, a  $C_1$ - $C_{20}$  alkyl group, linear or branched, optionally substituted by halogen, alkoxy, amino, alkylamino, or hydroxyl groups, or a  $C_6$ - $C_{20}$  aryl group, linear or branched, optionally substituted by halogen, alkoxy, amino, alkylamino, or hydroxyl groups.

Exemplary 3-hydroxycarboxylic acids are 3-hydroxypropionic acid, 3-hydroxy-2-methylpropionic acid, 3-hydroxybutanoic acid, 3-hydroxy-2-methylbutanoic acid, 3-hydroxy-2-methylpentanoic acid, 3-hydroxy-3-methylbutanoic acid, 2,3-dimethyl-3-hydroxybutanoic acid, and 3-hydroxy-3-phenylpropionic acid.

5           There is no specific residence time required for the present hydration process, other than the residence time be adequate to allow the product to be produced.

The process of the present invention is carried out at a temperature ranging from about 50°C to about 300°C, preferably from about 100°C to about 250°C, and most preferably from about 100°C to about 200°C.

10           In the embodiments of the process where the catalyst utilized in the present process is a gaseous catalyst, the process is carried out at a pressure ranging from about 0 to about 3000 psi, preferably from about 50 to about 500 psi.

In the embodiments of the process where the catalyst is a non-gaseous catalyst, the amount of catalyst utilized in the process ranges from about 1 to about 500 percent, preferably from about 5 to about 20 percent, based on the alpha, beta-unsaturated carboxylic acid that is being hydrated.

15

In a preferred embodiment of the present process, acrylic acid is hydrated, in water, in the presence of carbon dioxide catalyst, resulting in the preparation of 3-hydroxypropionic acid.

20           The hydration process of the present invention is carried out by contacting an alpha, beta-unsaturated carboxylic acid, in water, with a specified catalyst, to prepare a 3-hydroxycarboxylic acid. The process may be carried out in accordance with any manner, and the recovery of the resultant 3-hydroxycarboxylic acid is achieved according to any manner.

25           In more detail, when the present process is carried out utilizing acrylic acid, and a catalyst selected from carbon dioxide, a sulfur oxide, a nitrogen oxide, or gaseous hydrochloric acid, there is produced a solution comprising 3-hydroxypropionic acid. There is obtained also the ether dimer of 3-hydroxypropionic acid, identified as beta, beta'-oxydipropionic acid. In particular, the 3-hydroxypropionic acid produced herein is

separated and recovered as follows. The solution comprising the 3-hydroxypropionic acid is subjected to vacuum distillation using a solvent having a boiling point of at least about 140°C, such as dodecanol. The solvent is added in an amount ranging from about 20 to about 100 weight percent, preferably about 25 weight percent, based on the solution, to produce a mixture. The mixture containing the 3-hydroxypropionic acid, and the solvent, is added into a flask connected to a vacuum line through a condenser and a dry ice trap, to remove water and acrylic acid, by distillation under reduced pressure (about 1 to 5 mm Hg) and at a temperature of below 100°C. The distillation is carried out for about one hour, after distillation of the water, to distill more than 95% of the acrylic acid from the mixture. Thereafter, the solution remaining in the flask is heated to a temperature of from about 110°C to about 150°C, at a vacuum of about 1 to about 5mm Hg, to distill the 3-hydroxypropionic acid along with dodecanol. The residue remaining in the container includes ether dimer of 3-hydroxypropionic acid and oligomers. The ether dimer can be recovered by any method known in the art, for example, by extraction, distillation, and the like. Moreover, any medium may be used in the extraction procedure, such as ethyl acetate.

In the instance where a basic catalyst is utilized in carrying out the hydration process of the present invention, there is produced a solution comprising a salt of a 3-hydroxypropionic acid or a mixture of the salt and the free 3-hydroxypropionic acid. To recover the 3-hydroxypropionic acid, the salt of the 3-hydroxypropionic acid is converted to the free acid, namely the 3-hydroxypropionic acid, by any method known in the art, for example, by acidification. In more detail, the 3-hydroxypropionic acid salt may be concentrated to dryness and treated with sulfuric acid. The 3-hydroxypropionic acid is then decanted away from the inorganic solids or extracted with a suitable solvent. Alternately, and preferably, the solution, now containing the 3-hydroxypropionic acid is subjected to the same procedure described hereinabove, to recover the 3-hydroxypropionic acid. The procedure for the recovery is the same as that described herein where there is produced a solution that contains 3-hydroxypropionic acid, rather than a salt of the 3-hydroxypropionic acid.

Exemplary solvents having a boiling point of at least about 140°C that are suitable for use in the present process include alcohols, amines, amides, ethers, ketones,

phosphorus esters, phosphine oxides, phosphine sulfides, alkyl sulfides, and mixtures thereof. Specific examples are dodecanol, decanol, octanol, tridodecylamine, tricaprylamine and tributyl phosphate, with dodecanol being preferred.

5 The invention will be more readily understood by reference to the following examples. There are, of course, many other forms of this invention which will become obvious to one skilled in the art, once the invention has been fully disclosed, and it will accordingly be recognized that these examples are given for the purpose of illustration only, and are not to be construed as limiting the scope of this invention in any way.

### EXAMPLES

10 In the following examples, properties of the products resulting from the process of the invention are measured using test methods as follows:

#### High Pressure Liquid Chromatography (HPLC)

15 HPLC – The products produced by the process are analyzed using a Waters 1525 Binary HPLC pump, equipped with a Waters 717 plus Autosampler, and Waters 2410 Refractive Index and Waters 2487 Dual Lambda Absorbance detectors, having a Bio-Rad HP87-H column, 0.004 N sulfuric acid as the mobile phase, a flow rate of 0.6 ml/min, and a column temperature of 60°C.

#### Gas Chromatography (GC)

20 GC – The protocol for the gas chromatograph was as follows: a J & W DB-WAXETR 30m x 32 mm 0.5 µm film column was used with an internal oven temperature at 90°C with a 20°C/min increase to a final temperature of 200°C, and was maintained at 200°C for about 12.5 minutes. The injector temperature was 200°C.

25

### EXAMPLE 1

One hundred fifty grams of 30% acrylic acid aqueous solution was added into a 600 ml autoclave Parr reactor (available from Parr Instrument Company, Moline, IL). The aqueous solution in the Parr reactor was flushed with 50 psi of carbon dioxide gas three times to remove air. Then, the reactor was pressurized to 200 psi of carbon dioxide at 22°C. After that, the solution in the reactor was heated to 200°C with stirring. The pressure of the reactor at this condition was about 400 psi. After 3 hours of mixing at 200°C, the solution in the reactor was cooled to room temperature, and then depressurized. The solution in the reactor was analyzed by HPLC and GC for the product of 3-hydroxypropionic acid, ether dimer of 3-hydroxypropionic acid, and unreacted acrylic acid. The yield of 3-hydroxypropionic acid was 54.0%, and the ether dimer was produced in a yield of 4.6%.

The 3-hydroxypropionic acid in the solution was recovered by means of vacuum distillation, utilizing dodecanol. The amount of dodecanol added was about 25 weight percent of the solution, to produce a mixture. The mixture containing the 3-hydroxypropionic acid and dodecanol was added into a flask connected to a vacuum line through a condenser and a dry ice trap, to remove water and acrylic acid by distillation under reduced pressure (about 1 to 5 mm Hg) and at a temperature of about 100°C. The distillation was carried out for about one hour, after distilling the water, to distill more than 95% of the acrylic acid from the mixture. Thereafter, the solution remaining in the flask was heated to 150°C, at a vacuum of about 1 to 5 mm Hg, to distill the 3-hydroxypropionic acid along with dodecanol.

The 3-hydroxypropionic acid obtained by the distillation contains about 5 percent acrylic dimer and about 2 percent acrylic acid. The acrylic dimer and the acrylic acid may be removed by extraction with ethyl acetate.

The residue remaining in the container includes ether dimer of 3-hydroxypropionic acid, and oligomers. The ether dimer is recovered by any method known in the art, for example, by extraction, distillation and the like.

### EXAMPLE 2

The process of Example 1 was followed except that the Parr reactor was pressurized to 100 psi of carbon dioxide, rather than 200 psi. There was produced 3-hydroxypropionic acid in a yield of 53.6%, and ether dimer of 3-hydroxypropionic acid, in  
5 a yield of 4.8%.

### EXAMPLE 3

The process of Example 1 was followed except that the Parr reactor was pressurized to 200 psi of carbon dioxide, the reaction temperature was 175°C, rather than  
200°C, and the reaction time was 18 hours, rather than 3 hours. There was produced 3-  
10 hydroxypropionic acid in a yield of 53.6%, and ether dimer of 3-hydroxypropionic acid, in a yield of 5.8%.

### EXAMPLE 4

The process of Example 1 was followed except that the Parr reactor was pressurized to 500 psi of carbon dioxide, rather than 200 psi, the reaction temperature was  
15 170°C, rather than 200°C, and the reaction time was 18 hours, rather than 3 hours. There was produced 3-hydroxypropionic acid in a yield of 66.8%, and ether dimer of 3-hydroxypropionic acid, in a yield of 4.9%.

### EXAMPLE 5

Twenty grams of 30% acrylic acid aqueous solution and 0.9 gram (15% by weight  
20 based on the acrylic acid) of magnesium oxide were charged into a 100 ml Parr reactor. The reactor was sealed and the mixture in the Parr reactor was flushed with 50 psi of nitrogen gas three times to remove air. Then, the mixture in the reactor was heated to 170°C with stirring. After 18 hours of mixing at 170°C, the mixture in the reactor was cooled to 22°C. After separation of the solids in the mixture by centrifugation, the  
25 resultant solution was analyzed by HPLC and GC for the product of 3-hydroxypropionic acid, ether dimer of 3-hydroxypropionic acid, and unreacted acrylic acid. A yield of 3-hydroxypropionic acid of 68.7% was produced, and the ether dimer was produced in a yield of 13.1%.

### EXAMPLE 6

Twenty grams of 30% acrylic acid aqueous solution and 0.9 gram (15% by weight based on the acrylic acid) of calcium oxide were charged into a 100 ml Parr reactor. The reactor was sealed and the mixture in the Parr reactor was flushed with 50 psi of nitrogen gas three times to remove air. Then, the mixture in the reactor was heated to 160°C, with stirring. After 19 hours of mixing at 160°C, the mixture in the reactor was cooled to 22°C. After separation of the solids in the mixture by centrifugation, the resultant solution was analyzed by HPLC and GC for the product of 3-hydroxypropionic acid, ether dimer of 3-hydroxypropionic acid, and unreacted acrylic acid. A yield of 3-hydroxypropionic acid of 52.0% was produced, and the ether dimer was produced in a yield of 9.0%.

### EXAMPLE 7

Thirty grams of acrylic acid, 65 grams of water and 5 grams of 30% ammonium hydroxide aqueous solution were charged into a 600 ml Parr reactor. The reactor was sealed and the solution in the Parr reactor was flushed with 50 psi of nitrogen gas three times to remove air. Then, the solution in the reactor was heated to 200°C with stirring. After 3 hours of mixing at 200°C the solution in the reactor was cooled to 22°C. The solution in the reactor was analyzed by HPLC and GC for the product of 3-hydroxypropionic acid, ether dimer of 3-hydroxypropionic acid, and unreacted acrylic acid. A yield of 3-hydroxypropionic acid of 41.2% was produced, and the ether dimer was produced in a yield of 20.5%.

The processing conditions and the product data for Examples 1-7 are reported in the following Table 1.

Table 1

PREPARATION OF 3-HYDROXYPROPIONIC ACID FROM  
HYDRATION OF ACRYLIC ACID

Example No.	Aqueous Acrylic Acid Solution, %	Catalyst	Temperature °C	Reaction Time, hours	Yield of 3-hydroxypropionic acid, % <sup>2</sup>	Yield of Ether Dimer, %
1	30	Carbon dioxide 200psi	200	3	54.0	4.6
2	30	Carbon dioxide 100 psi	200	3	53.6	4.8
3	30	Carbon dioxide 200psi	175	18	53.6	5.8
4	30	Carbon dioxide 500psi	170	18	66.8	4.9
5	30	magnesium oxide <sup>1</sup> 15%	170	18	68.7	13.1
6	30	calcium oxide <sup>1</sup> 15%	160	19	52.0	9.0
7	30	ammonium hydroxide <sup>1</sup> 5%	200	3	41.2	20.5

<sup>1</sup> = percentage of catalyst was calculated based on weight of acrylic acid

5    <sup>2</sup> = yield was calculated based on 3-hydroxypropionic acid and the ester dimer of 3-hydroxypropionic acid

EXAMPLES 8 and 9

10    The procedure of Example 1 is followed except that the catalyst is replaced with, in one instance, gaseous sulfur dioxide, and in another instance, gaseous sulfur trioxide, as

the catalyst. It is expected that 3-hydroxypropionic acid and ether dimer of 3-hydroxypropionic acid, will be produced in both instances.

#### EXAMPLE 10

5 The procedure of Example 1 is followed except that the catalyst used is nitrogen dioxide. It is expected that 3-hydroxypropionic acid and ether dimer of 3-hydroxypropionic acid, will be produced.

#### EXAMPLE 11

10 The procedure of Example 1 is followed with the exception that gaseous hydrochloric acid is utilized as the catalyst. It is expected that 3-hydroxypropionic acid and ether dimer of 3-hydroxypropionic acid, will be produced.

#### EXAMPLE 12

The procedure of Example 4 is followed except that magnesium hydroxide is used as the catalyst. It is expected that 3-hydroxypropionic acid and ether dimer of 3-hydroxypropionic acid, will be produced.

15

#### EXAMPLE 13

The procedure of Example 5 is followed except that calcium hydroxide is used as the catalyst. It is expected that 3-hydroxypropionic acid and ether dimer of 3-hydroxypropionic acid will be produced.

20

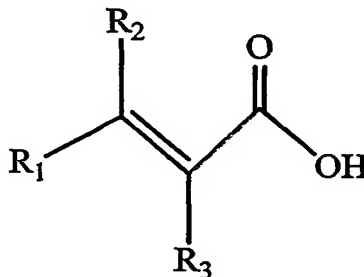
The invention has been described above in detail with particular reference to specific embodiments thereof, but it will be understood that variations and modifications other than as specifically described herein can be effected within the spirit and scope of the invention.

CLAIMS

We Claim:

1. A process for preparing a 3-hydroxycarboxylic acid comprising hydrating an alpha, beta-unsaturated carboxylic acid of the formula

5



- wherein  $R_1$ ,  $R_2$  and  $R_3$  are individually similar or dissimilar, and represent hydrogen, a  $C_1$ - $C_{20}$  alkyl group, linear or branched, optionally substituted by halogen, alkoxy, amino, alkylamino, or hydroxyl groups, or a  $C_6$ - $C_{20}$  aryl group, linear or branched, optionally substituted by halogen, alkoxy, amino, alkylamino, or hydroxyl groups, in water, in the presence of a catalyst selected from the group consisting of an inorganic base having a pKa greater than 7, an organic base having a pKa greater than 7, carbon dioxide, a sulfur oxide, a nitrogen oxide, gaseous hydrochloric acid, and mixtures thereof.

10
2. The process according to Claim 1 wherein the catalyst is selected from the group consisting of carbon dioxide, a sulfur oxide, a nitrogen oxide, gaseous hydrochloric acid, and mixtures thereof.

15
3. The process according to Claim 1 wherein the catalyst is an inorganic base having a pKa greater than 7 or an organic base having a pKa greater than 7.

20
4. The process according to Claim 3 wherein the catalyst is an inorganic base selected from the group consisting of ammonia, magnesium oxide, calcium oxide, magnesium hydroxide, calcium hydroxide and ammonium hydroxide.
5. The process according to Claim 1 wherein the alpha, beta-unsaturated carboxylic acid is acrylic acid, and the 3-hydroxycarboxylic acid is 3-hydroxypropionic acid.

6. The process according to Claim 2 wherein the catalyst is carbon dioxide.
7. The process according to Claim 5 wherein the catalyst is carbon dioxide.
8. The process according to Claim 1 wherein the temperature ranges from about 50°C to about 300°C.
- 5 9. The process according to Claim 1 wherein the catalyst is a gaseous catalyst and wherein the pressure ranges from about 0 to about 3000 psi.
- 10 10. The process according to Claim 1 wherein the catalyst is selected from the group consisting of magnesium oxide, calcium oxide, magnesium hydroxide, calcium hydroxide, ammonium hydroxide and an amine compound, and wherein the amount of catalyst ranges from about 1 to about 500 percent, based on the weight of the alpha, beta-unsaturated carboxylic acid.
11. The process according to Claim 7 wherein the temperature is about 200°C and the pressure is about 100 psi.
- 15 12. The process according to Claim 7 wherein the temperature is about 200°C and the pressure is about 200 psi.
13. The process according to Claim 7 wherein the temperature is about 170°C and the pressure is about 500 psi.
- 20 14. The process according to Claim 2 wherein the 3-hydroxycarboxylic acid is 3-hydroxypropionic acid and is prepared in solution, further comprising recovering the 3-hydroxypropionic acid by vacuum distilling the solution using a solvent having a boiling point of at least about 140°C, the solvent added in an amount of from about 20 to about 100 weight percent, based on the solution, under reduced pressure, at a temperature below 100°C to remove more than 95% acrylic acid and more than 95% of water, thereby providing a retentate that is vacuum distilled at a temperature of from about 110°C to  
25 about 150°C whereby the 3-hydroxypropionic acid is recovered.
15. The process according to Claim 14 wherein the solvent is dodecanol.

16. The process according to Claim 3 wherein 3-hydroxycarboxylic acid is 3-hydroxypropionic acid and is prepared in a solution as a salt of the 3-hydroxypropionic acid, further comprising recovering the 3-hydroxypropionic acid by converting the salt of the 3-hydroxypropionic acid to the 3-hydroxypropionic acid and vacuum distilling the solution using a solvent having a boiling point of at least about 140°C, the solvent added in an amount of from about 20 to about 100 weight percent, based on the solution, under reduced pressure, at a temperature below 100°C to remove more than 95% acrylic acid and more than 95% water, thereby providing a retentate that is vacuum distilled at a temperature of from about 110°C to about 150°C, whereby the 3-hydroxypropionic acid is recovered.

17. The process according to Claim 16 wherein the solvent is dodecanol.

18. A process for recovering a 3-hydroxypropionic acid from a solution comprising the 3-hydroxypropionic acid, comprising vacuum distilling the solution using a solvent having a boiling point of at least about 140°C, the solvent added in an amount of from about 20 to about 100 weight percent, based on the solution, under reduced pressure, at a temperature below 100°C to remove more than 95% of acrylic acid and more than 95% water, thereby providing a retentate that is vacuum distilled at a temperature of from about 110°C to about 150°C, whereby the 3-hydroxypropionic acid is recovered.

19. The process according to Claim 18 wherein the solvent is dodecanol.

## INTERNATIONAL SEARCH REPORT

ernational Application No  
CT/US2004/005824A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 C07C51/367 C07C51/44 C07C59/01

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BEILSTEIN Data, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DATABASE BEILSTEIN 'Online! BEILSTEIN INSTITUTE FOR ORGANIC CHEMISTRY, FRANKFURT-MAIN, DE; XP002289317 retrieved from XFIRE accession no. RID 3533785 abstract & CHUBAROV, G.A.; DANOV, S.M.; LOGUTOV, V.: J. APP. CHEM. USSR, vol. 53, no. 3, 1980, pages 502-505,	1-17
X	DATABASE BEILSTEIN 'Online! BEILSTEIN INSTITUTE FOR ORGANIC CHEMISTRY, FRANKFURT-MAIN, DE; XP002289318 retrieved from XFIRE accession no. RID3533786 abstract -/-	1-17



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*G\* document member of the same patent family

Date of the actual completion of the international search

2 August 2004

Date of mailing of the international search report

12/08/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Lorenzo Varela, M.J.

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	& CHUBAROV, G.A.; BALASHOV, A.L.: J.APPL.CHEM.USSR, vol. 63, no. 2, 1990, pages 331-336, ----- DATABASE BEILSTEIN 'Online! BEILSTEIN INSTITUTE FOR ORGANIC CHEMISTRY, FRANKFURT-MAIN, DE; XP002289319 retrieved from XFIRE accession no. RID198420 abstract & FITTIG; BAKER: JUSTUS LIEBIGS ANN., vol. 283, 1894, page 117, -----	1-17
X	DATABASE BEILSTEIN 'Online! BEILSTEIN INSTITUTE FOR ORGANIC CHEMISTRY, FRANKFURT-MAIN, DE; XP002289320 retrieved from XFIRE accession no. RID715337 abstract & SKRAUP: MONATSH. CHEM., vol. 14, 1893, pages 502-503, -----	1-17
X	DATABASE BEILSTEIN 'Online! BEILSTEIN INSTITUTE FOR ORGANIC CHEMISTRY, FRANKFURT-MAIN, DE; XP002289321 retrieved from XFIRE accession no. RID1818411 abstract & THIBAUT, J.; GARREAU, C.; DURAND, D.: CARBOHYDR. RES., vol. 163, 1987, pages 15-28, -----	1-17
X	DATABASE BEILSTEIN 'Online! BEILSTEIN INSTITUTE FOR ORGANIC CHEMISTRY, FRANKFURT-MAIN, DE; XP002289322 retrieved from XFIRE accession no. RID3381537 abstract & AXELSSON, B.; SVANTE, O.; KEVIN, J.; SPENCER, P.; YOUNG, D.: J. CHEM. SOC.PERKIN TRANS 1, vol. 7, 1994, pages 807-816, -----	1-17
X	DATABASE BEILSTEIN 'Online! BEILSTEIN INSTITUTE FOR ORGANIC CHEMISTRY, FRANKFURT-MAIN, DE; XP002289323 retrieved from XFIRE accession no. RID289765 abstract ----- -/-	1-17

## INTERNATIONAL SEARCH REPORT

national Application No

PCT/US2004/005824

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	& FARMER; HOSE: J. CHEM. SOC., 1993, pages 962-965,  ODELL, BARBARA ET AL: "Hydrothermal reactions of lactic acid catalyzed by Group VIII metal complexes" JOURNAL OF ORGANOMETALLIC CHEMISTRY , 290(2), 241-8 CODEN: JORCAI; ISSN: 0022-328X, 1985, XP002289316 *table 1*	1-17
X	JP 2000 159724 A (ASAHI CHEM IND CO LTD) 13 June 2000 (2000-06-13) the whole document	1-19

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US2004/005824

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
JP 2000159724 A	13-06-2000	NONE	

Form PCT/ISA/210 (patent family annex) (January 2004)

BEST AVAILABLE COPY